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3621

Attorney Docket No. NYMX0002 Client/Matter No. 99786.0007 Express Mail No. EV544474649US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kai Neumann, et al.

Serial No. 10/700,406

Filed: November 4, 2003

For: DISTRIBUTED TRADING BUS

**ARCHITECTURE** 

Examiner:

[Not Yet Assigned]

Art Unit:

3621

Confirmation No. 5734

**Customer No.: 25235** 

## INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references for the Examiner's consideration, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

Pursuant to 37 C.F.R. § 1.97(c), it is hereby certified that each item in this Information Disclosure Statement was cited in a communication from a foreign patent office (copy enclosed), PCT/IB04/52236, mailed March 23, 2006, not more than three months prior to the filing of the statement (37 C.F.R. Section 1.97(e)). No petition fee is believed required, however, any fees associated with this communication may be made to Deposit Account No. 50-1123.

Date: 4/04/06

Respectfully submitted

Kent A. Lembke, Reg. No. 44,866

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(720) 406-5378 Tel (303) 899-7333 Fax EFS-Web Receipt date: 04/04/200 APPLICATION NO. PTO/SB/08A (10/01) ATTY. DOCKET NO. NYMX0002 (Substitute for form 1449A/PTO) 10/700,406 Client/Matter No. 99786.0007 INFORMATION DISCLOSURE FIRST NAMED INVENTOR STATEMENT BY APPLICANT Kai Neumann, et al. (Use several sheets if necessary) **FILING DATE ART UNIT** November 4, 2003 3621 **U.S. PATENT DOCUMENTS** Examiner Cite Document No. **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Relevant Initials No. No. - Kind Code MM-DD-YYYY **Applicant of Cited Doc Passages or Relevant Figures Appear** US-4,980,826 12/25/1990 Wagner US-6,405,180 06/11/2002 Tifors et al. US-6,421,653 07/16/2002 May US-6,618,707 09/09/2003 Gary US-US-US-US-US-FOREIGN PATENT DOCUMENTS Pages, Columns. Lines **TRANSLATION** Examiner Cite Foreign Patent Doc **Publication Date** Name of Patentee or Where Relevant Passages cntry code - No. - Kind Code MM-DD-YYYY **Applicant of Cited Doc** or Relevant Figures Appear Initials No. YES NO OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, Examiner

# Initials No. symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

EXAMINER SIGNATURE /Clement Graham/ DATE CONSIDERED 04/17/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

EFS-Web Receipt date: 04/04/2006



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## CERTIFICATE OF MAILING BY EXPRESS MAIL

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Sir:

The undersigned hereby certifies that the enclosed

- 1. Information Disclosure Statement,
- 2. Form PTO/SB/08A, with copy of PCT search report,
- 3. Certificate of Mailing, and
- 4. Return Receipt Postcard,

and this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EV544474649US with the United States Postal Service, addressed to Commissioner for Patents, on April 4, 2006.

Date:

Mailer

Date:

Kent A. Lembke, Reg. No. 44,866

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